# IAP15 Rec'd PCT/PTO 18 AUG 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 2352.016 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NOT (INTROVA), dee 67 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** PCT/JP2005/002490 INTERNATIONAL FILING DATE 2005-02-17 PRIORITY DATE 2004-20-02 TITLE OF INVENTION DNA ARRAY FOR ANALYZING DNA METHYLATION, METHOD OF PRODUCING THE SAME AND METHOD OF ANALYZING DNA METHYLATION APPLICANT(S) FOR DO/EO/US YAMAKAWA, Naomi Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. $\boxtimes$ is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. **12**. ∐ A preliminary amendment. An application Data Sheet under 37 CFR 1.76. 15. 📙 A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICAT	ION NO. (if know	Vn_see_37 CFR 1	.5) INTERNATIONAL API PCT/JP2005/		ON NO.	AT	TORNEY'S DC 2352	OCKET NUMBER .016	
20.  Other items or information: Statement Under 37 CFR 1.821 (f) and (g)									
The follow	ing fees are sub	mitted:	<u>-</u>	<del></del>		CALC	CULATIONS	PTO USE ONLY	
21. 🖾 Basic national fee (37 CFR 1.492(a))							\$300.00		
If the written op report prepared 33(1)-(4) All other situation	ons	by ISA/US or the dicates all claim	e international preliminary e is satisfy provisions of PCT	Article	\$0	\$	\$200.00		
If the written op prepared by IPI Search fee (37 USPTO as an I International Se the Office or pre	EA/US indicates CFR 1.445(a)(2) nternational Sea earch Report pre eviously commu	/US or the Inter all claims satis )) has been paid arching Authorite pared by an IS inicated to the L	national preliminary examinary provisions of PCT Article d on the international applic y	33(1)-(4 ation to t	). \$0 the \$100 \$400	\$	\$500.00		
TOTAL OF 21, 22 and 23 =						\$	\$1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra Sheets		ch additional 50 or fraction nd up to a whole number)	R.	ATE		·		
73 - 100 =	0 /50 =		0	X	\$250.00	\$	\$0.00		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						\$			
CLAIMS	NUMBI	ER FILED	NUMBER EXTRA	R	ATE				
Total claims	13	- 20 =	0	X	\$50.00	\$	\$0.00		
Independen	t 4	- 3=	1	X	\$200.00	\$	\$200.00		
Multiple Depe	endent Claims	(if applicable)	×	+ \$	360.00	\$	\$360.00		
TOTAL OF ABOVE CALCULATIONS =						\$	\$1,560.00		
☐ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						\$	\$0.00		
SUBTOTAL =						\$	\$1,560.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$			
TOTAL NATIONAL FEE =						\$	\$1,560.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +						\$			
TOTAL FEES ENCLOSED =							\$1,560.00		
							ount to be inded:	\$	
						4	ount to be rged:	\$	



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a. 🗵 A check in the amount of	\$1,560.00	to cover the above fees is enclose	d.			
b.  Please charge my Deposit Ad A duplicate copy of this sheet	<del></del>	in the amount of	to cover the above fees.			
to Deposit Account	081935	arge any additional fees which may be  A duplicate copy of this sheet is enc	losed.			
<ul> <li>d.</li></ul>	redit card. WAR ncluded on this	NING: Information on this form may be form. Provide credit card information	ecome public. Credit card and authorization on PTO-2038.			
* * * * * * * * * * * * * * * * * * * *		FR 1.495 has not been met, a petitional Application to pending statu	•			
END ALL CORRESPONDENCE	TO:					
Erika J. Senska, Esq.	D.C.		18 // S			
Heslin Rothenberg Farley & Mesiti 5 Columbia Circle	P.C.	SIGNATURE				
Albany, New York 12203						
Ph: (518) 452-5600 Fx: (518) 452-5579			Erika J. Senska, Esq.			
A. (310) 432-3377		NAME				
			53,312			
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# CERTIFICATE OF MAILING BY "EXPRESS MAIL"

APPLICANT:

YAMAKAWA, Naomi

Serial No.

Unknown

Filing Date:

Herewith

Docket No.:

2352.016

Title:

DNA ARRAY FOR ANALYZING DNA METHYLATION,

METHOD OF PRODUCING THE SAME AND METHOD OF

**ANALYZING DNA METHYLATION** 

Date of Deposit:

August 18, 2006

### Enclosed are:

➤ Acknowledgment Postcard;

- ➤ Certificate of Express Mailing Label No.: EV 767086170 US;
- > Check in the amount of \$1,560.00 (filing fee);
- > Transmittal Letter under 35 U.S.C. 371 (3 pages);
- > Copy of International Application cover page with English abstract and International Search Report (2 pages);
- English Translation ( total pages, including 5 pages of figures);
- > Preliminary Amendment (7 pages);
- ➤ Paper copy of the Sequence Listing (2 pages) with computer-readable form of the sequence listing on disk;
- > Statement Under 37 CFR 1.821(f) and (g) (1 page);
- Executed Declaration and Power of Attorney (3 pages); and
- > Application Data Sheet (4 pages).

#### 37 CFR 1.10 Certification

I hereby certify that this paper and the indicated enclosures are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to:

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**Christine Adkins** 

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)